

08/20/01  
j1059 U.S. PTO

Docket No. 1232-4412US1

jc872 U.S. PTO  
09/931771  
08/20/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))**

ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
Washington, D.C. 20231

#3  
Pre  
Amdt A  
F-JONES  
11-2-01

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

- |     |                                                                                            |     |                                                                                                      |
|-----|--------------------------------------------------------------------------------------------|-----|------------------------------------------------------------------------------------------------------|
| (1) | Kazutaka YANAGITA<br>8-8-302, Mori 1-chome, Isogo-ku,<br>Yokohama-shi, Kanagawa-ken, JAPAN | (2) | Kiyofumi SAKAGUCHI<br>19-2-504, Edahigashi 2-chome, Tsuzuki-ku,<br>Yokohama-shi, Kanagawa-ken, JAPAN |
|-----|--------------------------------------------------------------------------------------------|-----|------------------------------------------------------------------------------------------------------|

☐ Additional inventors are listed on a separate sheet

For: **WAFER PROCESSING APPARATUS AND METHOD, WAFER CONVEY ROBOT,  
SEMICONDUCTOR SUBSTRATE FABRICATION METHOD, AND SEMICONDUCTOR  
FABRICATION APPARATUS**

Enclosed Are:

37 page(s) of specification  
1 page(s) of Abstract  
12 page(s) of claims  
12 sheets of ☒ Formal ☐ Informal drawings

5 page(s) of Declaration and Power of Attorney

- ☐ Unsigned  
☐ Newly Executed  
☒ Copy from prior application  
☐ Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2)

☒ **Incorporation by Reference:**

- ☒ The entire disclosure of the prior application, from which a copy of the combined Declaration and Power of Attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

☐ Microfiche Computer Program (Appendix)

- ☐ page(s) of Sequence Listing  
☐ computer readable disk containing Sequence Listing  
☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same

☒ Assignment Papers (assignment cover sheet and assignment documents)

- ☐ A check in the amount of \$40.00 for recording the Assignment  
☐ Charge the Assignment Recordation Fee to Deposit Account No. 13-4503, Order No. . .

☒ Assignment Papers filed in the parent application Serial No. 09/015,582

☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b)

☒ Priority is claimed under 35 U.S.C. § 119 for:  
Application No(s). 9-021796, filed 2/4/97, in Japan (country); and Application No(s). 9-030887,  
filed 2/14/97 in Japan (country).

- ☒ Certified Copy of Priority Document(s) [      ]  
☐ filed herewith  
☒ filed in application Serial No. 09/015,582, filed 1/29/98.

- ☐ English translation document(s) [      ]  
☐ filed herewith  
☐ filed in application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

☐ Priority is claimed under 35 U.S.C. § 119(e) for:  
Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_.

☐ Priority is claimed under 35 U.S.C. § 120 for:  
Application No(s). \_\_\_\_\_, filed \_\_\_\_\_, in \_\_\_\_\_.

☐ Information Disclosure Statement

- ☐ Copy of [      ] cited references  
☐ PTO Form-1449  
☐ References cited in parent application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

☐ Preliminary Amendment

☒ Return receipt postcard (MPEP 503)

a' ☒ This is a ☐ continuation ☒ divisional ☐ continuation-in-part of prior application serial no.  
09/015,582, filed 1/29/98.

☒ Cancel in this application original claims 1-42 and 49 of the parent application before  
calculating the filing fee. (At least one original independent claim must be retained for  
filing purposes.)

☐ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been  
properly numbered consecutively beginning with the number following the highest  
numbered original claim in the prior application.

☒ The status of the parent application is as follows:

- ☐ A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☒ No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the parent application to this application
- ☒ Amend the specification by inserting before the first line the sentence:  
This is a continuation of co-pending application Serial No. 09/015,582, filed 1/29/98.

I. CALCULATION OF APPLICATION FEE				
	Number Filed	Number Extra	Rate	Basic Fee \$710.00/355.00
Total Claims	6- 20 =	0	\$18.00/\$9.00	\$ 0
Independent Claims	6- 3 =	3x	\$80.00/\$40.00	\$ 240.00
<input type="checkbox"/> Multiple Dependent Claims		If marked, add fee of \$260.00 (\$130.00)		\$
TOTAL:				\$ 950.00

- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9 (f) paid herewith \$\_\_\_\_\_.
- ☐ A check in the amount of \$ \_\_\_\_\_ in payment of the application filing fees is attached.
- ☒ Charge fee to Deposit Account No. 13-4503 Order No. 1232-4412US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

- Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.  
Michael S. Marcus

By:

Registration No. 31,727

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PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

08/21/2001 STEUMEL1 00000043 134503 09931771

01 FC:101	710.00 CH
02 FC:102	240.00 CH

PTO-1556  
(5/87)